

# Registration Form - IRA Update Seminar

Please check location you wish to attend:

- Cape Girardeau       Columbia
- Springfield           Kansas City

Bill to \_\_\_\_\_

Bank \_\_\_\_\_

Address \_\_\_\_\_

City/State/Zip \_\_\_\_\_

Phone \_\_\_\_\_ Fax \_\_\_\_\_

Names of Attendees \_\_\_\_\_

Name \_\_\_\_\_

Title \_\_\_\_\_

E-Mail \_\_\_\_\_

Name \_\_\_\_\_

Title \_\_\_\_\_

E-Mail \_\_\_\_\_

Name \_\_\_\_\_

Title \_\_\_\_\_

E-Mail \_\_\_\_\_

Method of Payment \_\_\_\_\_

Member Fee ..... \$220 # \_\_\_\_\_ \$ \_\_\_\_\_

Each Additional ..... \$200 # \_\_\_\_\_ \$ \_\_\_\_\_

Non-member Fee ..... \$400 # \_\_\_\_\_ \$ \_\_\_\_\_

Each Additional ..... \$380 # \_\_\_\_\_ \$ \_\_\_\_\_

Total amount due: ..... \$ \_\_\_\_\_

Check enclosed, payable to **MBA**

Invoice the bank.

Credit Card Payment\* **(Please type.)**

**VISA**    **MasterCard**

Exp. Date \_\_\_\_\_

No. \_\_\_\_\_

Type Name \_\_\_\_\_

Signature \_\_\_\_\_

*\*Only VISA and MasterCard are accepted.*

**Missouri Bankers Association**  
**P.O. Box 57**  
**Jefferson City, MO 65102**

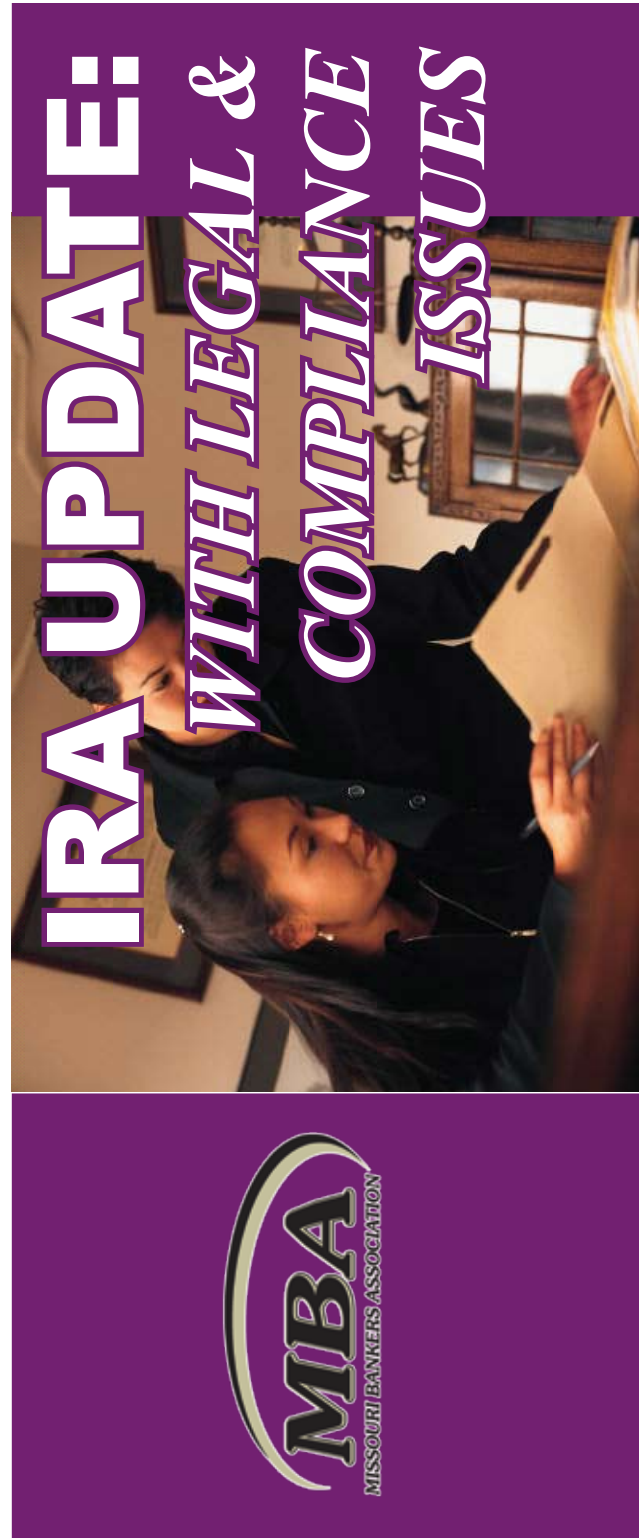


### RETURN SERVICE REQUESTED

#### Mailing Address Information

We strive to keep our mailing list as up-to-date and accurate as possible. If the addressee listed on this brochure is no longer employed at your bank or if the mailing address is incorrect, please send an email to [database@mobankers.com](mailto:database@mobankers.com).

Please route this information to his/her replacement, department manager, or training director. We appreciate your assistance!



## Seminar Overview

During this challenging economy and time of uncertain profits, it is more important than ever for individuals and companies to protect the future growth of their retirement assets. With over \$17 trillion dollars in U.S. retirement assets at stake, IRA professionals must understand the complex compliance issues associated with IRAs. This course will explore the origins of compliance and the dramatic impact that noncompliance may have on your financial organization and on your clients. We will delve into the three major compliance topics: opening documents, withholding, and reporting. We also will discuss more complex IRA issues, such as paying IRS penalties, allowing minors to establish IRAs, moving assets due to divorce, and applying a power of attorney to IRA assets.

This course is intended for those with a working knowledge of IRA transactions, those overseeing IRA operations, and those responsible for IRA reporting and records management.

## Course Topics

### Origins of Compliance

- Origins of compliance
- Financial organization responsibilities and penalties
- IRA holder responsibilities and penalties

### Document Issues

- Amendment procedures
- Mergers and acquisitions

### Withholding Issues

- Financial organization withholding responsibilities
- Reporting and depositing withheld funds

### Reporting Issues

- 2009 IRS Form 1099-R/5498 reporting requirements
- Other annual reporting requirements

### IRA Holder Issues

- Claiming a loss on IRA investments
- 2009 RMD issues
- Taxpayer forms (e.g., IRS Forms 8606 and 5329)
- 2010 conversion and taxation issues

### IRA Legal Issues

- Power of attorney and guardianship
- Divorce
- Minors establishing IRAs
- Abandoned IRAs

## Dates & Locations

### Cape Girardeau

Drury Lodge  
104 S. Vantage (I-55 & Route K)  
Cape Girardeau, Mo.

**October 27, 2009**

573-334-7151

### Columbia

Holiday Inn Select  
2200 I-70 Drive SW  
Columbia, Mo.

**October 28, 2009**

573-445-8531

### Springfield

Holiday Inn North  
2720 North Glenstone  
Springfield, Mo.

**October 29, 2009**

417-865-8600

### Kansas City

Holiday Inn Northeast  
7333 Parvin Road  
Kansas City, Mo.

**October 30, 2009**

816-455-1060

## Who should attend?

- IRA administrators, personal bankers, and member services personnel who have a working knowledge of IRA operations and wish to enhance their IRA expertise
- Financial professionals who have daily contact with IRA holders in complex situations
- Seasoned IRA professionals who want to improve their level of customer service
- Compliance personnel wishing to concentrate on IRA issues as part of their overall compliance package
- Support personnel responsible for promotional materials that describe the services their organizations provide

## Continuing Education

Submitted for continuing education credits from the Missouri Division of Insurance.

## Schedule

8:00 a.m.	Registration
8:30 a.m.	Seminar begins
Noon	Lunch
12:45 p.m.	Seminar resumes
3:30 p.m.	Adjournment

## About the Instructor

Mike Nelson has been MBA's IRA instructor for the past eight years. Mike not only instructs, he listens and responds to special needs, and involves his audience in an effective group learning experience. You are encouraged to participate by asking questions or sharing concerns throughout the program. Handbooks are provided by ASCENSUS, an endorsed vendor of MBA Bankers Service Corporation.

## Registration Information

**Fees:** Member ..... \$220 per person  
Each Additional ..... \$200 per person  
Non-member ..... \$400 per person  
Each Additional ..... \$380 per person

The cost of meals included in this registration fee for this meeting is \$19.00. This information is provided for your bank's tax records, in keeping with the IRS 50 percent deductible provisions under Section 274(n) of the Internal Revenue Code.

*Cancellation/Refunds:* Full refunds will be granted for cancellations received by MBA at least 10 days prior to the seminar date. After that date, an administrative fee of \$10 per canceled registration will be retained. Cancellations will not be accepted four days prior to the seminar. Substitutions are always permitted.

## Disabilities

Do you have any disabilities that require special assistance? If yes, attach a brief letter explaining how we may best accommodate your needs, or call Pam Cochran at 573-636-8151.

## Four Ways to Register

**By Fax** Complete and fax to (573) 634-2754

**By Phone** Call the MBA at (573) 636-8151

**By Mail** Mail the completed form and registration fees to:  
Missouri Bankers Association  
P.O. Box 57  
Jefferson City, MO 65102

**Online** [www.mobankers.com](http://www.mobankers.com)