



Solutions For Your Bank

Keeping up with bank compliance issues is a daunting mission. Let MBA's compliance team help your bank manage the complex, evolving requirements of regulatory compliance.



COMPLIANCE BENEFITS FOR MEMBERS

MBA offers the following free services for member banks' compliance needs.

- **Compliance Hotline**

For more than 40 years, banks have turned to MBA for guidance on federal and state banking laws and regulations.

- **E-newsletter**

An email on compliance topics is sent to compliance professionals, as needed, to alert members of hot topics and important regulatory developments.

- **Articles**

Written by MBA compliance staff, commentaries published in *The Missouri Banker* focus in-depth on specific compliance topics.

- **Comment Letters**

MBA prepares comment letters on proposed compliance-related regulations.

- **Regional Peer Groups**

Banking professionals interact with their peers to share and discuss compliance issues affecting the financial industry. In seven regions throughout the state, a designated banker serves as coordinator of each region.

- **Compliance Committee**

This group of compliance officers from various MBA banks around the state assists in planning the MBA Compliance Conference and providing input on other compliance services.

In addition, members can take advantage of these services available through MBA.

- **Education**

MBA offers various compliance training opportunities for financial institutions through schools, workshops, conferences, seminars and webinars.

Another education component available is customized bank training, in the bank or virtually through remote delivery. The MBA Compliance Services staff can provide cost-effective, customized programs to educate bank employees or board members on a specific topic or multiple topics.

- **Consulting**

Customized consulting or “coaching” is available to help new compliance officers, provide policy/procedures consulting on a specific topic, assist with exam follow-up projects, etc.

- **Reviews**

We offer your bank help with reviews that generally encompass consumer compliance regulations, as well as more tailored reviews on a specific area, such as TRID reviews. Review services include compliance reviews, Bank Secrecy Act reviews, HMDA reviews, fair lending reviews and off-site advertising reviews.

- **Publications**

Books and manuals offered by MBA cover various compliance topics, from titling deposit accounts to handling garnishments and levies, to a summary of state banking legislation. Additional information is available at *mobankers.com*.

TACKLE CHALLENGES WITH EXTRA FORCE

In addition to the compliance education and resources available from MBA, banks can tackle compliance challenges with MBA Compliance Force. Member banks may bundle offerings available through MBA's compliance department and receive discounted pricing on MBA products and services. To use MBA Compliance Force, banks are charged a yearly subscription fee. The annual subscription fees are per bank charter.

	MBA Compliance Products & Services	MBA Compliance Force \$1,500 annual fee
Compliance Aids <ul style="list-style-type: none"> policy and procedures templates check lists, matrices, flow charts Conference Call Briefings <ul style="list-style-type: none"> held biannually discussions on compliance hot topics, recent and upcoming changes, examiner hot buttons and common violations Compliance Basics Training <ul style="list-style-type: none"> designed for personnel new to compliance responsibilities Compliance Tutorials <ul style="list-style-type: none"> specific, short recordings with "how-to" information on various compliance topics 		no additional pricing; part of annual fee
Compliance Publications <ul style="list-style-type: none"> books and manuals 	pricing posted at <i>mobankers.com</i>	10 percent discount
Compliance Education <ul style="list-style-type: none"> webinars school conference seminars/workshops 	pricing posted at <i>mobankers.com</i>	\$50 off one registration to either a school, conference or workshop
Reviews* <ul style="list-style-type: none"> compliance review BSA review HMDA review fair lending review custom review 	custom quote	custom quote, with a 10 percent discount
Bank Training*	custom quote	custom quote, with a 10 percent discount
Off-site Ad Review <ul style="list-style-type: none"> advertising materials 	\$2,400 annually (yearly subscription)	50 percent discount

* Travel expenses will be charged to banks for all onsite reviews and in-bank training. Reviews and bank training may be conducted off-site.

MBA Staff

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